

Press release

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Dolfin extends Maltese operation with investment services licence upgrade

Dolfin, the independent and agile wealth management platform, has been awarded a Category III licence, expanding its execution and post-trade services to include principal trading on the Malta Stock Exchange (MSE).

With the new licence, Dolfin can now extend its brokerage offering to other market participants in Malta such as professional clients and eligible counterparties.

Dolfin opened its new Malta office in April last year, making it the first London-headquartered provider on the island to offer access to custody and depository services. It consolidated its position in Malta's financial sector when it became a member of the MSE in October 2018. The latest development enhances Dolfin's offering and embeds it in Malta's growing financial services industry.

Anthony Charles, Head of Trading at Dolfin Asset Services in Malta, said: "Our licence upgrade broadens the scope of our trading capabilities and enables us to be a true one stop shop for our clients. It allows us to operate to our full capacity, integrate into the trading community and build relationships with other members on the MSE."

Ramon Bondin, CEO of Dolfin Asset Services in Malta, said: "Since opening our office in Valletta, we have gone from strength to strength, growing with the Maltese financial services industry. Our Category III licence is testament to our commitment to the region and is the next step in our journey, delivering our Maltese clients the same level of service as our UK-based clients."



About Dolfin

Dolfin is an independent and agile wealth management platform. We provide world-class custody, execution and investment management to private clients, financial advisers and institutional investors.

We pride ourselves on our ability to help, to be a one-stop-shop. This has earned us the status of true partner to our clients, who repeatedly come to us first with their new projects and ideas.

We open investment accounts promptly and safeguard assets; share our infrastructure; provide access to capital markets worldwide; and offer sophisticated investment expertise.

Our platform enables the likes of wealth managers, external asset managers, multi-family offices, small private banks and emerging fund managers to grow their business with simplicity and speed.

Founded as a London-based wealth boutique in 2013, today we offer diversified financial solutions, an international presence, and our own bespoke technology. Although we now look after more than \$1.6bn of client assets and handle around \$650m of brokerage flow every month, the commitment that drove us in those early days endures: we think differently and act faster than most.

Dolfin is the trading name of Dolfin Financial (UK) Ltd, a company authorised and regulated by the Financial Conduct Authority and registered in England and Wales. Some of the services described are provided by Dolfin Asset Services Ltd, a company registered in Malta authorised and regulated by the Malta Financial Services Authority. Dolfin Financial (UK) and Dolfin Asset Services Ltd are subsidiaries of Dolfin Group Ltd, an exempted company organised under the laws of Bermuda.

More information

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