

Press release

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Dolfin bolsters Investment Committee

Dolfin, an independent and agile wealth management platform, has appointed four new members to its Investment Committee. David Schneider and Kevin Sullivan have joined following the firm's recent acquisition of Falcon Private Wealth Ltd., Jaspal Sian and Phoebus Theologites have been members since April.

Simon Black, Head of Investment Management, chairs the Committee that also includes Georgios Ercan, Head of Sales; Nick McCall, Head of Wealth Management; Amir Nabi, COO; Denis Nagy, CEO; and Adrian van den Bok, Head of Dolfin's Malta-based AIFM.

The Investment Committee is deliberately broad, composed of a team of investment professionals with long experience covering various mandates - including discretionary, advisory, UK Tier 1 investor visas, fund selection and private investment opportunities – and leveraging all asset classes and currencies.

The backgrounds of the recently appointed members of the Investment Committee are:

- **David Schneider, Senior Investment Manager:** David is a multi-asset investment manager with 13 years' experience managing ultra-high-net-worth individuals and trusts with Falcon Private Wealth Ltd. David has specialised in UK equities, fixed income and alternative investments..
- **Jaspal Sian, Head of Asset Allocation:** Prior to joining Dolfin, Jaspal was the Head of UK Balanced Funds for AXA Investment Management and a member of AXA's Global Asset Allocation Team. Previously, he was a senior consultant for Mellon Global Investments, advising on the creation of portable alpha and alternative investment products from established global equity funds.
- **Kevin Sullivan, Senior Investment Adviser:** Kevin has extensive wealth management experience, dealing with high-net-worth private clients as well as institutional clients from the banking and insurance industries. He is particularly experienced in advisory investment management and was a co-founder and, for many years, the CIO of Clariden Asset Management London, which was sold to Credit Suisse.
- **Phoebus Theologites, Investment Committee Secretary and Consultant to the Board:** Phoebus has 28 years trading and asset management experience across all asset classes, most recently as MD at UBS AM. Previously, he was Head of two Wealth Management entities and Global Head variously of Equity Quantitative Trading, Structuring, Execution and Trading Risk at CDC-IXIS, Toronto-Dominion and ABN-AMRO/RBS. Earlier, he traded currency, interest-rate, credit, equity and hybrid exotic derivatives at Bankers Trust, Credit Suisse, Bear Stearns and Gen Re.

Denis Nagy commented: "The talent of our Investment Committee is crucial in setting appropriate strategies, identifying and selecting themes and providing strong governance. Each new appointment brings to their role extensive experience with a unique viewpoint. This enables the committee as a whole to challenge and test the investment decisions we make across asset classes in order to better serve our clients."



About Dolfin

Dolfin is an independent and agile wealth management platform. We provide world-class custody, execution and investment management to private clients, financial advisers and institutional investors.

We pride ourselves on our ability to help, to be a one-stop-shop. This has earned us the status of true partner to our clients, who repeatedly come to us first with their new projects and ideas.

We open investment accounts promptly and safeguard assets; share our infrastructure; provide access to capital markets worldwide; and offer sophisticated investment expertise.

Our platform enables the likes of wealth managers, external asset managers, multi-family offices, small private banks and emerging fund managers to grow their business with simplicity and speed.

Founded as a London-based wealth boutique in 2013, today we offer diversified financial solutions, an international presence, and our own bespoke technology. Although we now look after more than \$3bn of client assets and handle around \$650m of brokerage flow every month, the commitment that drove us in those early days endures: we think differently and act faster than most.

Dolfin is the trading name of Dolfin Financial (UK) Ltd, a company authorised and regulated by the Financial Conduct Authority and registered in England and Wales. Some of the services described are provided by Dolfin Asset Services Ltd, a company registered in Malta authorised and regulated by the Malta Financial Services Authority. Dolfin Financial (UK) and Dolfin Asset Services Ltd are subsidiaries of Dolfin Group Ltd, an exempted company organised under the laws of Bermuda.

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